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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shuvo Roy et al.

Serial No. : TBD

(Continuation of U.S. Patent Appln.

Serial No. 09/939,331, filed

August 24, 2001)

Filing Date : Concurrently Herewith

For : APPARATUS AND METHOD FOR

ASSESSING LOADS ON

ADJACENT BONES

Attorney Docket No. : CCF-5519NP2

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This information disclosure statement is being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office in accordance with 37 CFR §1.56.

Copies of listed publications are enclosed. No copies of the listed U.S.

Patents and U.S. Patent Applications are enclosed. A PTO Form 1449 is attached.

U.S. PATENT DOCUMENTS

| U.S. Patent No. 5,425,775 | U.S. Patent No. 6,034,296 |
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| U.S. Patent No. 5,456,724 | U.S. Patent No. 6,036,693 |
| U.S. Patent No. 5,470,354 | U.S. Patent No. 6,059,784 |
| U.S. Patent No. 5,531,787 | U.S. Patent No. 6,342,074 |
| U.S. Patent No. 5,843,082 | U.S. Patent No. 6,447,448 |

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Med. Eng. Phys. Vol. 19, No. 6, pp. 539-546, 1997 "COMPARISON OF LOADS ON INTERNAL SPINAL FIXATION DEVICES MEASURED *IN VITRO* AND *IN VIVO*", A. Rohlmann, G. Bergmann, F. Graichen and U. Weber.

Journal of Microelectromechanical Systems, Vol. 9, No. 1, March 2000, A MINIATURE PRESSURE SYSTEM WITH A CAPACITIVE SENSOR AND A PASSIVE TELEMETRY LINK FOR USE IN IMPLANTABLE APPLICATIONS", Stavros Chatzandroulis, Dimitris Tsoukalas and Peter A. Neukomm.

"INTRODUCTION TO MEMS", M. Mehregany and S. Roy.

SPINE Volume 25, Number 20, pp. 2595-2600 "REAL-TIME *IN VIVO* LOADING IN THE LUMBAR SPINE" "Part 1. Interbody Implant: Load Cell Design and Preliminary Results", Eric H. Ledet, MS, Barton L. Sachs, MD, John B. Brunski, PhD, Charles E. Gatto, MD, and Peter S. Donzelli, PhD.

Respectfully submitted-

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Sheet 1 of 1 SERIAL NO. TBD FORM PTO-1449 **U.S. DEPARTMENT OF COMMERCE** ATTY DOCKET NO.: CCF-5519NP2 PATENT AND TRADEMARK OFFICE (REV. 6-89) INFORMATION DISCLOSURE APPLICANT(S): Shuvo Roy et al. STATEMENT BY APPLICANT (Use several sheets if necessary) FILING DATE: Concurrently Herewith GROUP: TBD **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE IF SUB **DOCUMENT NUMBER** APPROPRIATE INITIAL DATE **CLASS** NAME **CLASS** US-5,425,775 Jun. 20, 1995 Kovacevic et al. Α В US-5,456,724 Oct. 10, 1995 Yen et al. C US-5,470,354 Nov. 28, 1995 Hershberger et al. D US-5,531,787 Jul. 2, 1996 Lesinski et al. Ε US-5,843,082 Dec. 1, 1998 Yuan et al. F Mar. 7, 2000 Elvin et al. US-6,034,296 G US-6,036,693 Mar. 14, 2000 Yuan et al. Н US-6.059.784 May 9, 2000 Perusek ı US-6,342,074 Jan. 29, 2002 Simpson J US-6,447,448 Sep. 10, 2002 Ishikawa et al. K FOREIGN PATENT DOCUMENTS **SUB TRANSLATION** COUNTRY DOCUMENT NUMBER DATE **CLASS** CLASS YES NO L М Ν OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) IEEE Transactions on Biomedical Engineering, Vol. 47, No. 1, January 2000 "A PORTABLE 0 MICROSYSTEM-BASED TELEMETRIC PRESSURE AND TEMPERATURE MEASUREMENT UNIT", Bernd B. Flick and Reinhold Orglmeister. Med. Eng. Phys. Vol. 19, No. 6, pp. 539-546, 1997 "COMPARISON OF LOADS ON INTERNAL Р SPINAL FIXATION DEVICES MEASURED IN VITRO AND IN VIVO", A. Rohlmann, G. Bergmann, F. Graichen and U. Weber. Journal of Microelectromechanical Systems, Vol. 9, No. 1, March 2000, A MINIATURE PRESSURE Q SYSTEM WITH A CAPACITIVE SENSOR AND A PASSIVE TELEMETRY LINK FOR USE IN IMPLANTABLE APPLICATIONS", Stavros Chatzandroulis, Dimitris Tsoukalas and Peter A. Neukomm.

EXAMINER DATE CONSIDERED

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SPINE Volume 25, Number 20, pp. 2595-2600 "REAL-TIME IN VIVO LOADING IN THE LUMBAR

SPINE" "Part 1. Interbody Implant: Load Cell Design and Preliminary Results", Eric H. Ledet, MS. Barton L. Sachs, MD, John B. Brunski, PhD, Charles E. Gatto, MD, and Peter S. Donzelli, PhD.

"INTRODUCTION TO MEMS", M. Mehregany and S. Roy.